RECEIVED **CENTRAL FAX CENTER** 

APR 1 1 2006

# **BEEM PATENT LAW FIRM**

# 53 W. JACKSON BOULEVARD, SUITE 1352 CHICAGO, ILLINOIS 60604-3787

PHONE 312-201-0011

WWW.BEEMLAW.COM

FAX 312-201-0022

# **FACSIMILE TRANSMITTAL SHEET**

TO:

Apr 11 06 03:43p

FROM: Roger J. French

COMPANY: U.S. Patent and

**DATE: APRIL 11, 2006** 

Trademark Office

FAX NUMBER: 571-273-8300

TOTAL NO. OF PAGES INCLUDING COVER:

8

PHONE NUMBER: 571-272-4000

Re: Appeal Brief Appendix Attorney Docket No: 0233-0001

Serial No: 10/633,262

# NOTES/COMMENTS:

Title of Invention: Racing Sulky

Inventor:

Thomas G. HARMER

### Contents:

Transmittal Form (1 page)

Claims Appendix (6 pages)



# APR 1 1 2006

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control number. Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a Application Number 10/633,262 TRANSMITTAL Filing Date July 31, 2003 FORM First Named Inventor Thomas HARMER, et al. Art Unit 3618 Examiner Name John Walters (to be used for all correspondence after initial filing) Attorney Docket Number 0233-0001 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Facsimile Cover Sheet Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Enclosed is the Copy of Appealed Claims Appendix to be included in the Appelant's Brief filed on April 7, 2006. Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Beem Patent Law Firm Signature Printed name Roger J. French Date Rea. No. April 11, 2006

# CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Typed or printed name Roger J. French Date April 11, 2006

This collection of information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Patents, on the date indicated below.

Dated: April 11, 2006

RECEIVED CENTRAL FAX CENTER

APR 1 1 2006

Serial No: 10/633,262 Appeal Brief Appendix Attorney Docket No: 0233-0001

Certificate of Transmission I hereby certify that this correspondence is being transmitted via facsimile to facsimile number (571) 272-8300, to Commissioner for

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:

Thomas G. Harmer, et al.

Title:

Serial No:

10/633,262

Racing Sulky

Filing Date: July 31, 2003

Art Unit:

3618

Examiner:

Bryan R. Fischmann

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

Alexandria, VA 22313-1450

CLAIMS APPENDIX FOR APPELLANT'S BRIEF

Sir,

Enclosed is Appendix, "Copy of Appealed Claims", which was inadvertently omitted from the filing of Appellant's Brief for patent application serial no. 10/633,262 faxed on April 7, 2006.

Dated this 11th day of April 2006.

Respectfully submitted,

Roger J. French Reg. No. 27,786

BEEM PATENT LAW FIRM 53 W. Jackson Blvd., Suite 1352

Chicago IL 60604-3787 Tel. (312) 201-0011

Fax (312) 201-0022

Attorney Docket No: 0233-0001 Serial No: 10/633,262

Claims Appendix for Appellant's Brief

# Copy of Appealed Claims

- 2. A sulky to be drawn by a horse, comprising:
- a generally tubular arch having two ends;
- a seat mounted to said arch between said ends;
- a pair of strut assemblies depending downwardly from and weldable to generally opposite ends of said arch, each of said strut assemblies for receiving a wheel;

a pair of rails mounted to said arch, one rail being mounted on one side of said seat and the other rail being mounted on the other side of said seat, each of said rails extending generally in a longitudinal direction from said arch to a distal end, said distal ends of said rails being adapted for harnessing said horse between said rails;

wherein said arch and said strut assemblies are positioned for substantially longitudinal alignment of said wheels before welding said strut assemblies to said arch.

- 5. A sulky to be drawn by a horse, comprising:
- a pair of laterally spaced wheels mounted generally at opposite ends of a generally tubular arch, there being a longitudinal center line between said pair of wheels;
- a seat mounted to said arch between said ends and said wheels;
- a first rail and a second rail extending from said arch, each rail having a proximal end mounted to said arch and a distal end adapted for harnessing to said horse, said first rail being mounted to said arch on one side of said seat and said second rail being mounted to said arch on the other side of said seat;
- wherein a portion of said first rail proximate said arch is angled toward said center line as said portion extends from said arch, and wherein a longitudinal center line between said distal ends is offset from said longitudinal center line between said pair of wheels.
  - 6. A sulky according to claim 5, wherein said portion is angled at an angle of about 15 degrees.

# 1 Appendix

Serial No: 10/633,262

Claims Appendix for Appellant's Brief

Attorney Docket No: 0233-0001

- 9. A sulky to be drawn by a horse, comprising:
- a generally tubular arch having two ends;
- a seat mounted to said arch between said ends;
- a pair of rails, one rail being mounted to said arch on one side of said seat, the other rail being mounted to said arch on the other side of said seat, said rails extending in a generally longitudinal direction from said arch to distal ends, said distal ends of said rails being adapted for harnessing said horse between said rails;
- a pair of laterally spaced wheel assemblies mounted generally at opposite ends of said arch;
- wherein each of said wheel assemblies includes a wheel having two sides, a set of tubular spokes, a rim, and a pair of substantially planar covers mounted on opposite sides of said wheel and substantially covering said spokes.
- 10. A wheel assembly for a sulky to be drawn by a horse, comprising: a wheel having two sides, a set of tubular spokes, and a rim; and a pair of substantially planar covers mounted on opposite sides of said wheel and substantially covering said tubular spokes.
- 11. A wheel assembly according to claim 10, wherein said covers are mounted to said wheel using fasteners.
- 12. A wheel assembly according to claim 10, wherein said covers are mounted to said wheel using <del>Velero</del> hook and loop fasteners.
- 14. A sulky according to claim 5, wherein a portion of said second rail proximate said arch is angled toward said center line as said portion extends from said arch.
- 15. A sulky according to claim 14, and each said rail further including a second portion extending forwardly from said angled portion which is generally parallel to said

2 Appendix

p.6

Serial No: 10/633,262 Attorney Docket No: 0233-0001

Claims Appendix for Appellant's Brief

wheel center line, and a second angled portion extending forwardly from said second portion and angled toward said wheel center line, and wherein a stirrup is located on each said second portion behind said second angled portion.

- 16. sulky according to claim 14, wherein each of said rails comprise an inwardly angled portion proximate said arch, a generally longitudinal stirrup-holding portion, an inwardly angled intermediate portion, a generally longitudinal guiding portion, an inwardly angled flank portion, and a generally longitudinal harnessing portion.
- 17. A sulky according to claim 16, further comprising a pair of stirrups, each one of said pair of stirrups being mounted for longitudinal adjustment along a corresponding stirrup-holding portion.